

Attorney/Docket No. 25791.78 Customer No. 000027683

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re application of:

Robert Lance Cook, et al.

Group Art Unit: 3672

Serial No. 10/076,659

Filed: February 15, 2002

Examiner: Frank Tsay

For: A METHOD OF APPLYING AN AXIAL FORCE TO AN EXPANSION CONE

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Group 3600 Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450

JUN 1 8 2004 **ROUP 3600** 

Dear Sir:

In compliance with the duty of disclosure under 37 CFR §1.56, and in accordance with the practice under 37 CFR §1.97 and §1.98, the Examiner's attention is directed to the documents listed on the enclosed modified Form PTO-1449. No inference should be made that the cited references are in fact material, are in fact prior art, or that no better art exists. The cited patents are listed in numerical order and are not in any order based on their pertinence.

Accompanying this transmittal is the \$180.00 fee set forth in 37 CFR §1.17(p) for submission of an Information Disclosure Statement under §1.97(c).

The Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to Deposit Account 08-1394.

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

Registration No. 40,298

Certificate of Mailing

I hereby certify that this correspondence is being deposited with

the United States Postal Service as Express Mail in an envelope

addressed to Commissioner For Patents, P.O. Box 1450,

Alexandria, VA 22313-1450 on 6-15-04

espectfully submitted.

Date:

HAYNES AND BOONE 901 Main Street, Suite 3100

Dallas, Texas 75202-3789 Telephone: 713-547-2301

Facsimile: 214-200-0853

File: 25791.78 H-487018\_1.DOC

06/17/2004 CCHAU1 00000164 10076659

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Signature

Printed Name

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U. S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

Application Number 10/076659

Filling Date 2-15-2002

Applicant(s) Cook, et al.

Art Unit 3672

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)

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				Examiner Name Frank Tsay	
SHEET	1	OF	1	Attorney Docket Number 25791.78	

FOREIGN PATENT DOCUMENTS					
Examiner's Initials	Cite No.	Foreign Patent  Document  (Country Code - Number - Kind)	Publication Date	Patentee or Applicant of Cited Document	Translation
	B1	2,355,738B	12-24-2003	Great Britain	No
	B2	2,361,724	10-31-2001	Great Britain	
	В3	2,388,391B	12-17-2003	Great Britain	No
	B4	2,388,392B	12-17-2003	Great Britain	No
	B5	2,388,393B	12-17-2003	Great Britain	No
	B6	2,388,394B	12-17-2003	Great Britain	No
	B7	2,388,395B	12-17-2003	Great Britain	No
	B8	2,368,865B	02-11-2004	Great Britain	No
	B9	2,356,651B	02-25-2004	Great Britain	No
	B10	2,388,860B	02-18-2004	Great Britain	No
	B11	2,388,861B	02-18-2004	Great Britain	No

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ı	Examiner Signature	Date	
ľ	Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

RECEIVED
JUN 1 8 2004
GROUP 3600

## RANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** 

	400	~~
(5)	180	.UU

Complete if Known		
Application Number	10/076,659	
Filing Date	February 15, 2002	
First Named Inventor	Cook, et al.	
Examiner Name	Frank Tsay	
Art Unit	3672	
Attorney Docket No.	25791.78	

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)			
	3. ADDIT	IONAL FEES		
Deposit Account:		Small Entity		
Deposit 00 4004	Fee Fee Code (\$)	Fee Fee Fee Description Code (\$) Fee Paid		
Account Number	1051 130	2051 65 Surcharge - late filing fee or oath		
Deposit Account Haynes and Boone, LLP	1052 50	2052 25 Surcharge - late provisional filing fee or cover sheet		
Name The Director is authorized to: (check all that apply)	1053 130	1053 130 Non-English specification		
Charge fee(s) indicated below Credit any overpayments	1812 2,520	1812 2,520 For filing a request for ex parte reexamination		
Charge any additional fee(s) or any underpayment of fee(s)	1804 920	1804 920* Requesting publication of SIR prior to		
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1805 1,840	1805 1,840* Requesting publication of SIR after Examiner action		
FEE CALCULATION	1251 110	2251 55 Extension for reply within first month		
1. BASIC FILING FEE	1252 420	2252 210 Extension for reply within second month		
Large Entity Small Entity	1253 950	2253 475 Extension for reply within third month		
Fee Fee Fee Fee Fee Description Fee Paid	1254 1,480	2254 740 Extension for reply within fourth month		
Code (\$) Code (\$) 1001 770 2001 385 Utility filing fee	1255 2,010	2255 1,005 Extension for reply within fifth month		
1002 340 2002 170 Design filing fee	1401 330	2401 165 Notice of Appeal		
1003 530 2003 265 Plant filing fee	1402 330	2402 165 Filing a brief in support of an appeal		
1004 770 2004 385 Reissue filing fee	1403 290	2403 145 Request for oral hearing		
1005 160 2005 80 Provisional filing fee	1451 1,510	1451 1,510 Petition to institute a public use proceeding		
SUBTOTAL (1) (\$) 0.00		2452 55 Petition to revive - unavoidable		
	1453 1,330	2453 665 Petition to revive - unintentional		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501 1,330	2501 665 Utility issue fee (or reissue)		
Extra Claims below Fee Paid  Total Claims X = X	1502 480	2502 240 Design issue fee		
Independent	1503 640	2503 320 Plant issue fee		
Claims - 3** = X = X Multiple Dependent	1460 130	1460 130 Petitions to the Commissioner		
	1807 50	100.00		
Large Entity   Small Entity   Fee Fee   Fee Fee   Fee Fee   Fee Description	1806 180	1806 180 Submission of Information Disclosure Stmt		
Code (\$) Code (\$)	8021 40	8021 40 Recording each patent assignment per property (times number of properties)		
1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3	1809 770	2809 385 Filing a submission after final rejection (37 CFR 1.129(a))		
1203 290 2203 145 Multiple dependent claim, if not paid	1810 770	2810 385 For each additional invention to be		
1204 86 2204 43 ** Reissue independent claims		examined (37 CFR 1.129(b))		
over original patent	1801 770 1802 900	2801 385 Request for Continued Examination (RCE) 1802 900 Request for expedited examination		
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1002 900	of a design application		
SUBTOTAL (2) (\$) 0.00	Other fee (s	pecify)		
**or number previously paid, if greater; For Reissues, see above	*Reduced b	y Basic Filing Fee Paid SUBTOTAL (3) (\$) 180.00		

Date June 15, 2004 Signature WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Registration No.

(Attorney/Agent)

40.298

SUBMITTED BY

Name (Print/Type)

(Complete (if applicable))

Telephone 713-547-2301